



# SHREE SECURITIES LIMITED

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## ANNEXURE

### QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

NAME OF THE COMPANY

: SHREE SECURITIES LIMITED

QUARTER ENDING ON

: 31ST DECEMBER, 2013

PARTICULARS	CLAUSE OF LISTING AGREEMENT	COMPLIANCE STATUS (YES / NO)	REMARKS
<b>I. Board of Directors</b>	49 I		
(A) Composition of Board	49 (IA)	YES	
(B) Non-Executive Directors' Compensation & Disclosure	49 (IB)	YES	
(C) Other Provisions as to Board and Committees	49 (IC)	YES	
(D) Code of Conduct	49 (ID)	YES	
<b>II. Audit Committee</b>	49 II		
(A) Qualified & Independent Audit Committee	49 (IIA)	YES	
(B) Meeting of Audit Committee	49 (IIB)	YES	
(C) Powers of Audit Committee	49 (IIC)	YES	
(D) Role of Audit Committee	49 (IID)	YES	
(E) Review of Information by Audit Committee	49 (IIE)	YES	
<b>III. Subsidiary Companies</b>	49 III	YES	
<b>IV. Disclosures</b>	49 IV		
(A) Basic of related party transactions	49 (IVA)	YES	
(B) Board Disclosures	49 (IVB)	YES	
(C) Proceeds from Public Issues, Right Issues, Preferential Issues etc.	49 (IVC)	N.A.	
(D) Remuneration of Directors	49 (IVD)	YES	
(E) Management	49 (IVE)	YES	
(F) Shareholders	49 (IVF)	YES	
<b>V. CEO/CFO Certification</b>	49 V	YES	
<b>VI. Report on Corporate Governance</b>	49 VI	YES	
<b>VII. Compliance</b>	49 VII	YES	

FOR SHREE SECURITIES LTD

DIRECTOR