

<b>General information about company</b>	
Scrip code	538975
NSE Symbol	
MSEI Symbol	
ISIN	INE397C01018
Name of the entity	SHREE SECURITIES LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Half Yearly
Date of Report	30-09-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

**Annexure I**

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes not provided
ASANT UMAR HARMAN	AXUPS8212R	00084604	Executive Director	Chairperson related to Promoter	CEO-MD	28-07-1983	NA		10-02-2004	12-02-2019			1	0	2	0	
ARSHWANT SHI	AKEPJ5751D	03642328	Non-Executive - Independent Director	Not Applicable		15-10-1984	NA		12-02-2014	12-09-2017	07-08-2020	78	1	1	1	1	
SIYA HARMAN	FZCPS4321A	08350443	Executive Director	Not Applicable		24-08-1996	NA		03-02-2019				1	0	0	0	
SIYANKA NGH	BYUPS9255C	08752330	Non-Executive - Independent Director	Not Applicable		28-10-1984	NA		15-06-2020				4	3	3	1	2

## I. Composition of Board of Directors

### Disclosure of notes on composition of board of directors explanatory

#### Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of members in At Stakeholder Committee (Refer Regulation 26(1) of Listing Regulations)
5	Mrs	SHIWAGINEE JAISWAL	BCTPJ0920J	08763022	Non-Executive - Independent Director	Not Applicable		08-04-1996	NA		15-06-2020			4	3	3	2
6	Ms	VAISHALI KUMARI SHAW	GXMPS8333H	08804508	Non-Executive - Independent Director	Not Applicable		20-07-1994	NA		08-08-2020			2	4	4	4

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03642328	HARSHWANT JOSHI	Non-Executive - Independent Director	Chairperson	12-02-2014	07-08-2020	
2	00084604	BASANT KUMAR SHARMA	Executive Director	Member	10-02-2004		
3	08763022	SHIWAGINEE JAISWAL	Non-Executive - Independent Director	Member	15-06-2020		
4	08804508	VAISHALI KUMARI SHAW	Non-Executive - Independent Director	Chairperson	08-08-2020		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03642328	HARSHWANT JOSHI	Non-Executive - Independent Director	Chairperson	12-02-2014	07-08-2020	
2	08763022	SHIWAGINEE JAISWAL	Non-Executive - Independent Director	Member	15-06-2020		
3	08752330	PRIYANKA SINGH	Non-Executive - Independent Director	Member	15-06-2020		
4	08804508	VAISHALI KUMARI SHAW	Non-Executive - Independent Director	Chairperson	08-08-2020		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03642328	HARSHWANT JOSHI	Non-Executive - Independent Director	Member	01-04-2014	07-08-2020	
2	00084604	BASANT KUMAR SHARMA	Executive Director	Member	01-04-2014		
3	08763022	SHIWAGINEE JAISWAL	Non-Executive - Independent Director	Chairperson	15-06-2020		
4	08804508	VAISHALI KUMARI SHAW	Non-Executive - Independent Director	Member	08-08-2020		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks



Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

**Annexure 1****Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	15-06-2020				Yes	5	3
2		29-07-2020	43		Yes	5	3
3		07-08-2020	8		Yes	5	3
4		15-09-2020	38		Yes	5	3

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	15-06-2020				Yes	3	2
2	Audit Committee	29-07-2020	43			Yes	3	2
3	Audit Committee	15-09-2020	47			Yes	3	2
4	Nomination and remuneration committee	15-06-2020				Yes	3	3
5	Nomination and remuneration committee	29-07-2020				Yes	3	3
6	Nomination and remuneration committee	07-08-2020				Yes	3	3

**Annexure 1****IV. Meeting of Committees**

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
7	Nomination and remuneration committee	15-09-2020				Yes	3	3
8	Stakeholders Relationship Committee	07-08-2020				Yes	3	2

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

**Annexure 1****VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

**Annexure 1**

Sr	Subject	Compliance status
1	Name of signatory	PRITI BALODI
2	Designation	Company Secretary and Compliance Officer

**Annexure III****III. Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	No	Annual General Meeting has not yet held
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	Annual General Meeting has not yet held
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	Annual General Meeting has not yet held
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	Annual General Meeting has not yet held
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	No	Annual General Meeting has not yet held
Any other information to be provided				

**Annexure III**

1	Name of signatory	PRITI BALODI
2	Designation	Company Secretary and Compliance Officer

<b>Signatory Details</b>	
Name of signatory	PRITI BALODI
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	14-10-2020

