



# SHREE SECURITIES LIMITED

Registered Office : 3, Synagogue Street, 3rd Floor,  
Room No. 18G, Kolkata - 700 001, (W.B.) INDIA

Phone : + 91 33 2231 3366 / 3367

E-mail : ssl\_1994@yahoo.co.in

www.shresecindia.com

CIN : L65929WB1994PLC061930

## ANNEXURE - XI to Listing Agreement

### Quarterly Compliance Report on Corporate Governance under Clause 49 of the Listing Agreement

Name of the Company

: Shree Securities Limited

Quarter Ending on

: 31st March, 2015

<u>PARTICULARS</u>	<u>CLAUSE OF LISTING AGREEMENT</u>	<u>COMPLIANCE STATUS (YES / NO)</u>	<u>REMARKS</u>
<b>II. Board of Directors</b>	<b>49 II</b>		
(A) Composition of Board	49 (II A)	YES	
(B) Independent Directors	49 (II B)	YES	
(C) Non-executive Directors' Compensation & Disclosures	49 (II C)	YES	
(D) Other Provisions as to Board and Committees	49 (II D)	YES	
(E) Code of Conduct	49 (II E)	YES	
(F) Whistle Blower Policy	49 (II F)	YES	
<b>III. Audit Committee</b>	<b>49 (III)</b>		
(A) Qualified & Independent Audit Committee	49 (III A)	YES	
(B) Meeting of Audit Committee	49 (III B)	YES	
(C) Powers of Audit Committee	49 (III C)	YES	
(D) Role of Audit Committee	49 (III D)	YES	
(E) Review of Information by Audit Committee	49 (III E)	YES	
<b>IV. Nomination &amp; Remuneration Committee</b>	<b>49 (IV)</b>	YES	
<b>V. Subsidiary Companies</b>	<b>49 (V)</b>	N.A.	
<b>VI. Risk Management</b>	<b>49 (VI)</b>	N.A.	
<b>VII. Related Party Transaction</b>	<b>49 (VII)</b>	YES	
<b>VIII. Disclosures</b>	<b>49 (VIII)</b>		
(A) Related Party Transactions	49 (VIII A)	YES	
(B) Disclosure of Accounting Treatment	49 (VIII B)	N.A.	
(C) Remuneration of Directors	49 (VIII C)	YES	
(D) Management	49 (VIII D)	YES	
(E) Shareholders	49 (VIII E)	YES	
(F) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	49 (VIII F)	N.A.	
<b>IX. CEO/CFO Certification</b>	<b>49 (IX)</b>	YES	
<b>X. Report on Corporate Governance</b>	<b>49 (X)</b>	YES	
<b>XI. Compliance</b>	<b>49 (XI)</b>	YES	

For Shree Securities Limited



Basant Kumar Sharma

Managing Director

DIN: 00084604

Date: 15th July, 2015